

# Chapter 8

## Representations of two compact groups.

### 8.1 Representations of the permutation group

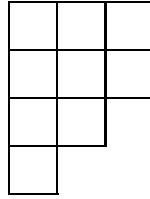
Permutations on  $n$  symbols is the set of one to one mappings  $\sigma$  of a set  $X = \{x_1, x_2, \dots, x_n\}$  of  $n$  elements on to itself. It is a finite group  $G$  with  $n!$  elements. Given  $\sigma \in G$  we can look at the orbits of  $\sigma^n x$  and it will partition the space  $X$  into orbits  $A_1, A_2, \dots, A_k$  consisting of  $n_1 \geq \dots \geq n_k$  points so that  $n = n_1 + \dots + n_k$ . If  $\hat{\sigma} = s\sigma s^{-1}$  is conjugate to  $\sigma$  then the orbits of  $\hat{\sigma}$  will be  $sA_1, sA_2, \dots, sA_k$  so that the partition  $n_1 \geq \dots \geq n_k$  of  $n$  into  $k$  numbers will be the same for  $\sigma$  and  $\hat{\sigma}$ . Conversely if  $\sigma$  and  $\hat{\sigma}$  have the same partition of  $n$ , then the orbits  $A_1, \dots, A_k$  and  $B_1, \dots, B_k$  can be so arranged that the corresponding orbits have the same cardinality. We can find  $s \in G$  that maps  $A_i \rightarrow B_i$  in a one-to-one and onto manner and we can reduce the problem to the case where  $A_i = B_i$  for every  $i$ . We can now relabel the points with in each  $A_i$  i.e. find a permutation of  $n_i$  elements, such that both  $\sigma$  and  $\hat{\sigma}$  look the same on each  $A_i$ . We can now prove the following theorem.

**Theorem 8.1.** *The number of distinct inequivalent irreducible representations of  $G$  is the same as the number of distinct partitions  $\mathcal{P}(n)$  of the integer  $n$ .*

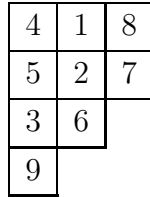
*Proof.* The space  $\mathcal{U}$  of functions  $u$  that are invariant under conjugation i.e satisfy  $u(h^{-1}gh) = u(g)$  is spanned by characters and its dimension equals the number of conjugacy classes, i.e the number of distinct sequences  $n_1 \geq$

$n_2 \geq \cdots \geq n_k > 0$  such that  $n = n_1 + \cdots + n_k$ . This is the same as the number of distinct partitions of  $n$ .  $\square$

We will now construct a distinct irreducible representation for each partition of  $n$ . Given a partition  $\lambda$  of  $n$  into  $n_1 \geq n_2 \geq \cdots \geq n_k$ , we associate a diagram called the Young diagram corresponding to  $\lambda$ . It looks like



when  $n = 9$  and the partition is  $3, 3, 2, 1$ . Each set in the partition is a row consisting of squares whose number is the number of elements in that set. The rows are arranged in decreasing order of their size and aligned on the left. A Young tableau  $\mathbf{t}$  is a diagram  $\lambda$  with the boxes filled in arbitrarily by the numbers  $1, 2, \dots, 9$ , like



The rows of the array are of length  $n_1 \geq \cdots \geq n_k$ . For any diagram there are  $n!$  tableaux. The tableaux  $\mathbf{t}$  above has rows  $[4, 1, 8]$ ,  $[5, 2, 7]$ ,  $[3, 6]$  and  $[9]$ . A tabloid is when the order of entries within a row is not relevant. The tabloid  $\tau = \{\mathbf{t}\}$  consists of  $\{1, 4, 8\}$ ,  $\{2, 5, 7\}$ ,  $\{3, 6\}$ ,  $\{9\}$ . There are  $\frac{n!}{n_1! \cdots n_k!}$  tabloids corresponding to a diagram  $\lambda$  corresponding to the partition  $n_1 \geq n_2 \geq \cdots \geq n_k$ . The subgroup  $C_{\mathbf{t}}$  of the permutation group consists of permutations within each column. In our case it consists of  $4! \times 3! \times 2! = 288$  elements. An arbitrary permutation of  $4, 5, 3, 9$ , an arbitrary permutation of  $1, 2, 6$  and one of  $8, 7$ . For any permutation  $s$ ,  $\sigma(s) = \pm 1$  is the sign of the permutation. We define an abstract inner product space  $V - \lambda$  of dimension  $\frac{n!}{n_1! \cdots n_k!}$  with the orthonormal basis  $e_{\tau}$  as  $\tau$  varies over all the tabloids of the diagram  $\lambda$ . We define  $n!$  vectors  $f_{\mathbf{t}}$  in  $V_{\lambda}$  as  $\mathbf{t}$  varies over the set  $\mathbf{T}$  of tableau of the diagram  $\lambda$ .  $\{\mathbf{t}\}$  is the tabloid that is the equivalence class of all the tableaux that are obtained by permutations within rows of the tableaux  $\mathbf{t}$ . The group element, namely a permutation  $s$  acts naturally on the set of

tableaux  $\mathbf{T}$ . If two tableaux  $\mathbf{t}_1$  and  $\mathbf{t}_2$  belong to the same tabloid so do  $s\mathbf{t}_1$  and  $s\mathbf{t}_2$ . Therefore  $s$  acts on the set  $\mathcal{T}$  of tabloids as well. Define

$$f_{\mathbf{t}} = \sum_{s \in C_{\mathbf{t}}} \sigma(s) e_{s\{\mathbf{t}\}}$$

The  $\{f_{\mathbf{t}} : \mathbf{t} \in \mathbf{T}\}$  may not be linearly independent and the span of  $\{f_{\mathbf{t}}\}$  is denoted by  $W$ . One defines a representation of  $\pi_{\lambda}(g)$  of the permutation group on  $V_{\lambda}$  and  $W_{\lambda}$  corresponding to the diagram  $\lambda$  by defining

$$\pi_{\lambda}(g)f_{\mathbf{t}} = gf_{\mathbf{t}} = \sum_{s \in C_{\mathbf{t}}} \sigma(s) e_{gs\{\mathbf{t}\}}$$

**Theorem 8.2.** *Each  $\pi_{\lambda}$  is irreducible. For two distinct diagrams they are inequivalent. We therefore have all the representations.*

Proof is broken up into lemmas.

**Lemma 8.3.**

$$\pi_{\lambda}(g)f_{\mathbf{t}} = f_{g\mathbf{t}}$$

*Proof.*

$$\begin{aligned} \sum_{s \in C_{\mathbf{t}}} \sigma(s) e_{gs\{\mathbf{t}\}} &= \sum_{s \in C_{\mathbf{t}}} \sigma(s) e_{gsg^{-1}g\{\mathbf{t}\}} \\ &= \sum_{gsg^{-1} \in C_{g\mathbf{t}}} \sigma(s) e_{gsg^{-1}g\{\mathbf{t}\}} \\ &= \sum_{s' \in C_{g\mathbf{t}}} \sigma(s') e_{s'g\mathbf{t}} = f_{g\mathbf{t}} \end{aligned}$$

□

**Lemma 8.4.** *Suppose  $\lambda, \mu$  are two different diagrams,  $\mathbf{t}$  a  $\lambda$ -tableaux and  $\mathbf{t}^*$  a  $\mu$ -tableaux. Suppose*

$$\sum_{s \in C_{\mathbf{t}}} \sigma(s) e_{s\{\mathbf{t}^*\}} \neq 0$$

*Then  $n_1 \geq m_1, n_1 + n_2 \geq m_1 + m_2, \dots$  where  $n_1, \dots, n_k$  and  $m_1, \dots, m_{\ell}$  are the two partitions corresponding to  $\lambda$  and  $\mu$ . We say then that  $\lambda \geq_1 \mu$ . If  $\lambda = \mu$  then the sum is  $\pm f_{\mathbf{t}}$ .*

*Proof.* Suppose that two elements  $a, b$  are in the same row of  $\mathbf{t}^*$  and in the same column of  $\mathbf{t}$ . Then the permutation  $p = \{a \leftrightarrow b\}$  is in  $C_{\mathbf{t}}$ ,  $e_{sp\{\mathbf{t}\}} = e_s\{\mathbf{t}\}$ , with  $\sigma(sp) + \sigma(s) = 0$ . So the sum adds up to 0 which is ruled out. Hence, no two elements in the same row of  $\mathbf{t}^*$  can be in the same column of  $\mathbf{t}$ . In particular  $\mathbf{t}$  must have at least as many columns as the number of elements in the first row of  $\mathbf{t}^*$  proving  $n_1 \geq m_1$ . A variant of this argument proves  $\lambda \geq_1 \mu$ . Suppose now that  $\lambda = \mu$ . Again all the elements in any row of  $\mathbf{t}^*$  appear in different columns of  $\mathbf{t}$ . Then there is a permutation  $s^* \in C_{\mathbf{t}}$  such that  $s^*\mathbf{t} = \mathbf{t}^*$ . The sum is unaltered if we replace  $\mathbf{t}$  by  $s^*\mathbf{t}$  except for the factor of  $\sigma(s^*) = \pm 1$ .  $\square$

**Lemma 8.5.** *Let  $u \in V$  corresponding to a diagram  $\lambda$ . Let  $\mathbf{t}$  be any  $\lambda$ -tableau. Then*

$$\sum_{s \in C_{\mathbf{t}}} \sigma(s) \pi_{\lambda}(s) u = c f_{\mathbf{t}}$$

*Proof.*  $u$  is a linear combination of  $e_{\{\mathbf{t}^*\}}$  for different tabloids  $\{\mathbf{t}^*\}$  corresponding to  $\lambda$ . Each one from the previous lemma yields  $c(\mathbf{t}^*) f_{\mathbf{t}}$  with  $c(\mathbf{t}^*) = 0, \pm 1$ . Add them up!  $\square$

Let us define

$$A_{\mathbf{t}} = \sum_{s \in C_{\mathbf{t}}} \sigma(s) \pi_{\lambda}(s)$$

acting on  $V$ . We already have an inner product that makes  $\pi(s)$  orthogonal.

$$\begin{aligned} \langle A_{\mathbf{t}} u, v \rangle &= \sum_{s \in C_{\mathbf{t}}} \sigma(s) \langle \pi(s) u, v \rangle \\ &= \sum_{s \in C_{\mathbf{t}}} \sigma(s) \langle u, \pi(s^{-1}) v \rangle \\ &= \sum_{s \in C_{\mathbf{t}}} \sigma(s) \langle u, \pi(s) v \rangle \\ &= \langle u, A_{\mathbf{t}} v \rangle \end{aligned}$$

because  $\sigma(s^{-1}) = \sigma(s)$ .

**Lemma 8.6.** *If  $U_{\lambda}$  is any invariant subspace of  $V$  then either  $U_{\lambda} \supset W_{\lambda}$  or  $U_{\lambda} \perp W_{\lambda}$ . This proves the irreducibility of  $W_{\lambda}$ .*

*Proof.* Suppose for some  $u \in U_\lambda \subset V_\lambda$  and  $\mathbf{t}$  is a  $\lambda$ -tableau. We saw that  $A_{\mathbf{t}}u = c_{\mathbf{t}}f_{\mathbf{t}}$  for some constant  $c_{\mathbf{t}}$ . Suppose for some  $u \in U_\lambda$  and  $\mathbf{t}$ ,  $c_{\mathbf{t}} \neq 0$ . Then  $f_{\mathbf{t}} = \frac{1}{c_{\mathbf{t}}}A_{\mathbf{t}}u \in U_\lambda$ . Since  $\pi(g)f_{\mathbf{t}} = f_{g\mathbf{t}}$  and  $\{f_{g\mathbf{t}}\}$  span  $W_\lambda$ , it follows that  $W_\lambda \subset U_\lambda$ . If  $c_{\mathbf{t}} = 0$  for all  $u$  and  $\mathbf{t}$ ,  $A_{\mathbf{t}}u = 0$  and it follows that

$$\langle u, f_{\mathbf{t}} \rangle = \pm \langle u, A_{\mathbf{t}}f_{\mathbf{t}} \rangle = \pm \langle A_{\mathbf{t}}u, f_{\mathbf{t}} \rangle = 0$$

and  $u \in W^\perp$ . □

**Lemma 8.7.** *Let  $T$  intertwine the representations on  $V_\lambda$  and  $V_\mu$ . Suppose  $W_\lambda$  is not contained in  $\text{Ker } T$ . Then  $\lambda \geq_1 \mu$ .*

*Proof.*  $\text{Ker } T$  is invariant under  $\pi(g)$  and if it does not contain  $W_\lambda$  it is orthogonal to it.

$$0 \neq Tf_{\mathbf{t}} = TA_{\mathbf{t}}f_{\mathbf{t}} = A_{\mathbf{t}}Tf_{\mathbf{t}}$$

$Tf_{\mathbf{t}}$  is a combination of  $e_{\{\mathbf{t}\}}$  of  $\mu$ -tableau  $\{\mathbf{t}\}$ . So at least one of them  $A_{\mathbf{t}}e_{\{\mathbf{t}\}}$  is nonzero forcing  $\lambda \geq_1 \mu$ . □

**Lemma 8.8.** *If  $T \neq 0$  intertwines  $W_\lambda$  and  $W_\mu$ , then  $\lambda = \mu$ .*

*Proof.* Extend  $T$  by making it 0 on  $(W_\lambda)^\perp$  and we see that  $\lambda \geq_1 \mu$ . The argument is symmetric. □

## 8.2 Representations $\text{SO}(3)$

We will consider the irreducible representations of the group  $G$  of rotations in  $R^3$ . These are orthogonal transformations of determinant 1, i.e. that preserve orientation. An element  $g \in G$  is represented as the matrix

$$\begin{bmatrix} t_{1,1}(g) & t_{1,2}(g) & t_{1,3}(g) \\ t_{2,1}(g) & t_{2,2}(g) & t_{2,3}(g) \\ t_{3,1}(g) & t_{3,2}(g) & t_{3,3}(g) \end{bmatrix}$$

There is the trivial representation  $\pi_0(g) \equiv I$ . Then there is a natural three dimensional representation where  $\pi_1(g) = t(g) = \{t_{i,j}(g)\}$  and it can be viewed as a unitary representation in  $C^3$ . This representation is irreducible and faithful, i.e. it separates points of  $G$ .

As we saw in the general theory, the characters can be used to identify the irreducible representations. It helps to know what the conjugacy classes are. Given two orthogonal matrices  $g_1$  and  $g_2$ , when can we find a  $g$  such that  $gg_1g^{-1} = g_2$ ? The eigen values of  $g_1$  are  $1, e^{\pm i\theta_1}$  and therefore in order for  $g_1$  and  $g_2$  to be mutually conjugate we need  $\theta_1 = \pm\theta_2$  or  $\cos\theta_1 = \cos\theta_2$ . Conversely one can show that that if  $g_1$  and  $g_2$  have the same eigenvalues then they are indeed conjugate. If we use a  $g$  to align the eigenspace corresponding to 1, then we need to show essentially that rotation by  $\theta$  and  $-\theta$  are conjugate. We can use the matrix

$$\begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

to achieve this.

We will use the infinitesimal method to study irreducible representations. If  $A = \{a_{i,j}\}$  is a real skewsymmetric matrix then  $g_t = e^{tA}$  defines a one parameter curve in  $G$ , and if  $\pi$  is a unitary representation on a complex vector space  $V$ , then  $U_t = \pi(g_t) = e^{it\sigma(A)}$  for some skew symmetric  $\sigma(A)$ . This way we get a map  $A \rightarrow \sigma(A)$  from the space of real skewsymmetric  $3 \times 3$  matrices into complex skewhermitian matrices on  $V$ .

The way to understand this map is to think of  $G$  as three dimensional manifold and the vector space of real skewsymmetric  $3 \times 3$  matrices as the tangent space at  $e$ . In fact there are global vector fields acting on functions defined on  $G$  corresponding to any skew symmetric  $A$ ,

$$(X_A)f(g) = \frac{d}{dt}f(ge^{tA})|_{t=0}$$

Then

$$\sigma(A) = (X_A)\pi(e)$$

and from the representation property

$$(X_A)\pi(g) = \pi(g)\sigma(A)$$

$$X_A X_B = \sigma(A)\sigma(B)$$

The Poisson bracket  $[X_A, X_B] = X_A X_B - X_B X_A$  is to equal  $X_{[AB-BA]}$  and we get this a way a representation  $\sigma$  of the "Lie Algebra" of  $3 \times 3$  skewsymmetric matrices in the space of skewhermitian trnasformations on  $V$ . Moreover  $\sigma([A, B]) = [\sigma(A), \sigma(B)]$ .  $G$  acts irreducibly on  $V$  if and only if  $\sigma(A)$  acts irreducibly. We pick a basis  $A_1, A_2, A_3$  where

$$A_1 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix} \quad A_2 = \begin{bmatrix} 0 & 0 & -1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} \quad A_3 = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Let us note that

$$[A_1, A_2] = -A_3, [A_2, A_3] = -A_1, [A_3, A_1] = -A_2$$

If we define  $\sigma(A_1) = H$  and  $Z_1 = \sigma(A_2) + i\sigma(A_3)$ ,  $Z_2 = \sigma(A_2) - i\sigma(A_3)$ , we can calculate

$$\begin{aligned} [H, Z_1] &= \sigma([A_1, A_2]) + i\sigma([A_1, A_3]) = -\sigma(A_3) + i\sigma(A_2) = iZ_1 \\ [H, Z_2] &= \sigma([A_1, A_2]) - i\sigma([A_1, A_3]) = -\sigma(A_3) - i\sigma(A_2) = -iZ_2 \end{aligned}$$

$H$  being skewhermitian on  $V$ , it has purely imaginary eigenvalues and a complete set of eigenvectors. Let  $V = \bigoplus_{\lambda} V_{i\lambda}$  be the decomposition of  $V$  into eigenspaces of  $H$ . Moreover  $e^{2\pi H} = \pi(e^{2\pi A_1}) = \pi(e) = I$  The values  $\lambda$  are therefore all integers. If  $Hv = i\lambda v$ , then  $HZ_1 v = Z_1 H v + [H, Z_1]v = i\lambda Z_1 v + iZ_1 v = i(\lambda + 1)Z_1 v$ . Therefore  $Z_1$  maps  $V_{i\lambda} \rightarrow V_{i(\lambda+1)}$  and similarly  $Z_2$  maps  $V_{i\lambda} \rightarrow V_{i(\lambda-1)}$ . It is clear that if we start with some  $v_0 \in V_{i\lambda}$  then  $v_0, \{Z_1^k v_0 : k \geq 1\}, \{Z_2^k v_0 : k \geq 1\}$  are all mutually orthogonal. Since the space is finite dimensional,  $Z_1^r v_0 = Z_2^s v_0 = 0$  for some  $r, s$ . If we take  $r, s$  to be the smallest such values, then the subspace generated by them has dimension  $r + s - 1$  and is invariant under  $H, Z_1, Z_2$ . Since the representation is irreducible, this must be all of  $V$ . Another piece of information is that  $H$  and  $-H$  are conjugate. The set of  $\lambda$ 's is therefore symmetric around the

origin. Hence  $V$  is odd dimensional and is  $\{\lambda\} = \{-k, \dots, 0, \dots, k\}$  for some integer  $k \geq 0$ . This exhausts all possible irreducible representations in the infinitesimal sense and therefore the set of irreducible representations of  $G$  cannot be larger. The character of such a representation if it exists is seen to be

$$\chi_k(g) = \hat{\chi}_k(\theta) = \sum_{j=-k}^k \exp[ij\theta]$$

where  $1, e^{\pm i\theta}$  are the eigenvalues of  $g$ . We will try construct them as the natural action of  $G$  on the space of homogeneous harmonic polynomials of degree  $k$ . This dimension is calculated as  $\frac{(k+1)(k+2)}{2} - \frac{k(k-1)}{2} = 2k + 1$ .  $H$  which is the infinitesimal rotation around  $x$ -axis is calculated as

$$H = z \frac{\partial}{\partial y} - y \frac{\partial}{\partial z}$$

The polynomials  $p_k^{\pm} = (y \pm iz)^k$  are harmonic in two and therefore three variables and  $H p_k^{\pm} = \pm ik p_k^{\pm}$ . Therefore this representation has the eigenvalues  $\pm ik$  for  $H$  and cannot be decomposed totally in terms of representations of dimension  $(2k - 1)$  or less. On the other hand its dimension is only  $(2k + 1)$ . This is it.

Since we know that  $\int_G \chi_k(g) \chi_\ell(g) dg = \delta_{k,\ell}$  it is convenient to determine the weight  $w(\theta)$  on  $[0, \pi]$  such that it is the probability density of  $\theta(g)$  of a random  $g$  uniformly distributed over  $G$ . Then

$$\int_0^\pi \hat{\chi}_k(\theta) \hat{\chi}_\ell(\theta) w(\theta) d\theta = \delta_{k,\ell}$$

In particular, since  $\hat{\chi}_1(\theta) \equiv 1$ , for  $k \geq 2$

$$\int_0^\pi [\hat{\chi}_k(\theta) - \hat{\chi}_{k-1}(\theta)] w(\theta) d\theta = 0$$

or

$$w(\theta) = a + b \cos \theta$$

Normalization of  $\int_0^\pi w(\theta) d\theta = 1$  gives  $a = \frac{1}{\pi}$ . The orthogonality relation  $\int_0^\pi 1 \cdot (1 + 2 \cos \theta) w(\theta) d\theta = 0$  provides  $a + b = 0$  or

$$w(\theta) = \frac{1 - \cos \theta}{\pi}$$